

ROLE REFERENCE SHEET

Chief Compliance Officer (CCO)

CCO · Enterprise Compliance & Ethics Leadership

The senior executive who owns the enterprise compliance and ethics program, keeping the organization aligned with law, regulation, and its own standards of conduct.

WHAT THE ROLE OWNS

- Enterprise compliance program
- Code of conduct & policies
- Compliance risk assessment
- Monitoring, testing & surveillance
- Ethics hotline & investigations
- Regulatory-change management
- Third-party due diligence
- Board & regulator reporting

FRAMEWORKS

- DOJ ECCP guidance
- COSO Internal Control
- US Sentencing Guidelines Ch. 8
- ISO 37301 & 37001
- NIST AI RMF

TYPICAL CERTS

- CCEP · CRCM · CAMS
- CIPP · CRISC · JD

12–18+ yrs

Experience

5+ yrs

Leading compliance

C-Suite

Reports to CEO / GC / Board

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